

Information, Banking details and Application form Tundra Fonder for Non-US Investors

Private investors

Please fill out the application form and attach a certified copy of your passport. Send the documents to: Tundra Fonder AB, Birger Jarlsgatan 33, 111 45 Stockholm, Sweden

We will confirm receipt of documentation as well as client registration to the contact details stated in the application form, and you can then transfer funds to subscribe to the bank account stated on page 2, for the respective fund. Please use your name and social security number as reference for the payment, and send an advice of the transfer to order@tundrafonder.se

Please note that subscription of funds only will be recorded by Tundra Fonder AB once upon receipt of required documents, signed by client attached with certified copy of passport.

Legal entities

Please fill out the application form and attach:

- A signed and certified copy of the legal entity's certificate of registration (printed within last six months).
- Document showing that contact person is entitled and empowered to sign on behalf of the legal entity.
- Document (certified excerpts from company register or equivalent) showing owners/interest groups with ownership of 25% or more.
- A list of authorized signatories.

We will confirm receipt of documentation as well as client registration using the contact details stated in the application form, whereafter you can then transfer funds to subscribe to the bank account stated on page 2, for the respective fund. Please use company name and company registration number as reference for the payment, and send a notice of the transfer to order@tundrafonder.se

Tundra Fonder AB (company registration number) 556838-6303
Finansinspektionens (registration number at the Swedish FSA) 58194
<http://www.fi.se/Folder-EN/Startpage/Register/Company-register/Company-register-Details/?idx=102946>
Birger Jarlsgatan 33, 111 45 Stockholm, Sweden
Tel + 46 8 551 145 70, Fax + 46 8 551 145 71
Email: info@tundrafonder.se www.tundrafonder.se/en

FAQ

What does "certified copy" mean?

A certified copy of passport would be a copy of such identification, dated and signed by another person, together with printed name and contact details.

Can I transfer funds for subscription before I have been accepted as a client?

You can transfer funds but subscription will only take place once you have been registered as a client. Therefore there is a risk of a delay in investment.

Private investors and CRS

Information is sent to the tax authorities according to the CRS (Common Reporting Standard). CRS was implemented at European Union level through the Directive on Administrative Cooperation (Directive 2014/107/UE), known as "DAC 2".

Processing of personal data

The Company keeps a register of clients who have registered or purchased units in any of the Company's funds. The register contains personal data such as name, personal identity number, postal address, telephone number, electronic addresses and information based on use of the Company's services. By signing this document, you consent to this processing.

The value of the capital invested in a fund may either increase or decrease in value and it is not certain that you will be able to recover all of your investment. Historical return is no guarantee of future return. The Full Prospectus, KIID etc. are available at our website. You can also contact us to receive the documents free of charge.

Please read the Full Prospectus as it includes additional information in regards to subscription and redemption. Publication of daily price per fund unit (NAV) is available at Tundra Fonder AB's website: www.tundrafonder.se/en, and can also be received from Tundra Fonder AB upon request.

Banking and Trading details

| Fund Name | Bank account | Trading | ISIN Code |
|---|---|---------|--------------|
| Tundra Sustainable Frontier Fund Class A SEK | SEB: 5851-10 783 55 IBAN: SE83 5000 0000 0585 1107 8355 BIC: ESSESESS | Daily | SE0004211282 |
| Tundra Sustainable Frontier Fund Class E NOK | SEB: 5864-82 181 52 IBAN: SE65 5000 0000 0586 4821 8152 BIC: ESSESESS | Daily | SE0005222353 |
| Tundra Sustainable Frontier Fund Class C EUR | SEB: 5864-82 006 01 IBAN: SE97 5000 0000 0586 4820 0601 BIC: ESSESESS | Daily | SE0005222338 |
| Tundra Sustainable Frontier Fund Class D USD | SEB: 5864-82 095 52 IBAN: SE47 5000 0000 0586 4820 9552 BIC: ESSESESS | Daily | SE0005222346 |
| Tundra Frontier Africa Fund Class A SEK | SEB 5851-11 016 67 IBAN: SE92 5000 0000 0585 1110 1667 BIC: ESSESESS | Daily | SE0005188091 |
| Tundra Pakistan Fund Class A SEK | SEB: 5851-10 761 90 IBAN: SE47 5000 0000 0585 1107 6190 BIC: ESSESESS | Daily | SE0004211308 |
| Tundra Pakistan Fund Class C EUR | SEB: 5864-82 006 28 IBAN: SE47 5000 0000 0586 4820 0628 BIC: ESSESESS | Daily | SE0005222254 |
| Tundra Pakistan Fund Class D USD | SEB 5864-82 020 00 IBAN: SE57 5000 0000 0586 4820 2000 BIC: ESSESESS | Daily | SE0005222262 |
| Tundra Vietnam Fund Class A SEK | SEB: 5851-11 038 05 IBAN: SE81 5000 0000 0585 1110 3805 BIC: ESSESESS | Daily | SE0005797099 |
| Tundra Vietnam Fund Class C EUR | SEB 5864-82 039 45 IBAN: SE19 5000 0000 0586 4820 3945 BIC: ESSESESS | Daily | SE0005797115 |
| Tundra Vietnam Fund Class D USD | SEB: 5864-82 039 53 IBAN: SE94 5000 0000 0586 4820 3953 BIC: ESSESESS | Daily | SE0005797123 |

Trading Schedule

Redemption order received are legally binding and can only be cancelled if Tundra Fonder AB agrees to cancellation.

Tundra Sustainable Frontier Fund

- Subscription takes place at the price established on the banking day on which the subscription request and cash settlement are received into the Fund's bank account, by Tundra Fonder AB no later than 2.30pm (CET).
- Redemption takes place at the price established on the banking day on which the redemption request is received by Tundra Fonder AB no later than 2.30pm (CET).

Tundra Frontier Africa Fund, Tundra Pakistan Fund and Tundra Vietnam Fund

- Subscription takes place at the price established on the subsequent banking day on which the subscription request and cash settlement are received into the Fund's bank account, by Tundra Fonder AB no later than 2.30pm (CET).
- Redemption takes place at the price established on the subsequent banking day on which the redemption request is received by Tundra Fonder AB no later than 2.30pm (CET).
- Subscriptions/redemptions received after 2.30pm (CET) will be handled accordingly the subsequent banking day.

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☐ Private investor (please provide certified copy of passport)

☐ Legal entity (please provide certified certificate of registration)

Fields marked with * are mandatory

| | | |
|-----------------------------------|---|--|
| Last name / Legal entity name* | First name* | Social security number/Company reg.number* |
| | | |
| Contact person (for legal entity) | Tax domicile/Country* | Nationality* |
| | | |
| Street address* | Zip code* | City* |
| | | |
| Country* | Phone incl. country code* | Mobile phone |
| | | |
| E-mail* | Please note that contract notes and statements will be sent to the email address mentioned below, unless otherwise requested by the client. | |

Banking details

Document from the client's bank showing that the person/legal entity is the owner of the account has been enclosed/provided.

Name of bank*: _____

BIC/SWIFT code*: _____

Bank account number (IBAN)*: _____

Please fill in the questionnaire by checking the alternative that applies in your case
Which of the following best describes your intentions in becoming a Tundra Fonder client and investing in our products?*

☐ Savings in funds ☐ Spreading risk ☐ Other – please specify: _____

What is your investment horizon?*

☐ Long term investment (>5 years) ☐ Medium term investment (1-5 years) ☐ Short term investment (<1 year)

What amount do you estimate that an average transaction with Tundra Fonder will amount to?*

☐ <20.000 EUR ☐ 20.000–30.000 EUR ☐ 30.000–150.000 EUR ☐ >150.000 EUR

How often do you estimate you will carry out transactions in Tundra Fonder's products?*

☐ Once a month ☐ Several times a year ☐ Once a year ☐ Less frequently

Is it your money or are you acting as a manager/agent/trustee on someone else's behalf?*

☐ Yes, it is my money ☐ No I am acting as a Manager/Agent/Trustee or on someone else's behalf.

If the answer is "No" to the above, please state the name/company name and social security number/company registration number and the address of the person or company on whose behalf you are acting (the beneficial owner):

Name / Company name

Social security number / Company registration number

Address

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Definition of "beneficial owner"

For clients who are legal entities, information must be provided pertaining to the client's ownership and controlling structure (except in the case of legal entities whose shares are traded on a regulated market). Please state name, address and security number/company registration number of direct and indirect owners.

Is any individual who ultimately owns or controls the legal entity and/or (ii) the individual on whose behalf a transaction or activity is being conducted. The latter is characterized as a person enjoying the benefits of someone else's action. A beneficial owner is assumed to be the individual who (i) as a result of him or her holding shares or membership interests, directly or indirectly through other legal entities, alone or together with closely related family members, controls more than 25 percent of the total number of votes in the relevant legal entity, (ii) has the right to appoint or remove more than half of the legal entity's directors or equivalent officials, or (iii) may exercise the equivalent control by agreement with the owner, member or the legal person itself, through provisions in the company's articles of association, a shareholders' agreement or similar.

For trusts, the beneficial owner is assumed to be: A member of the board of directors, representatives of the trust's trustee, the beneficiary of the trust or the recipient of a substantial part of the funds distributed by the trust.

1. Does any individual(s) qualify as an beneficial owner of the legal entity. (please see definition above)

- ☐ Yes, If yes, please fill in information about the owners and their ownership below.
- ☐ No, If no, please fill in information about the Chairman of the Board of the customer below. If the legal entity does not any have beneficial owner due to the circumstances listed above, Tundra Fonder AB must, according to the regulations, appoint and identify a person who is to be considered as the beneficial owner of the legal entity. The Fund Company has adopted a routine to consider the Chairman of the Board of the costumer as the beneficial owner. Therefore, we need to identify this person. Please enter the name, address and Personal identity number of the Chairman of the Board below.

| | |
|--|---|
| <input type="checkbox"/> Name of Beneficial owner / <input type="checkbox"/> Chairman of the Board | Personal ID number |
| | |
| Address | <input type="checkbox"/> Percentage of votes and shares / <input type="checkbox"/> Title if appointed |
| | |
| Name of Beneficial owner | Personal ID number |
| | |
| Address | Percentage of votes and shares |
| | |
| Name of Beneficial owner | Personal ID number |
| | |
| Address | Percentage of votes and shares |
| | |

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Politically Exposed Persons*

During the 18 months, have you or any of your closest family members or somebody you have or have had a close business relationship with, been entrusted with prominent public functions¹? ☐ No ☐ Yes

During the 18 months, has the beneficial owner (or the appointed beneficial owner), or any of the beneficial owners closest family members or somebody the beneficial owner have or have had a close business relationship with, been entrusted with prominent public functions¹? ☐ No ☐ Yes

¹Prominent public functions includes (a) heads of State, heads of government, ministers and deputy or assistant ministers; (b) members of parliament or of similar legislative bodies; (c) members of the governing bodies of political parties; (d) members of supreme courts, of constitutional courts or of other high-level judicial bodies, the decisions of which are not subject to further appeal, except in exceptional circumstances; (e) members of courts of auditors or of the boards of central banks; (f) ambassadors, chargés d'affaires and high-ranking officers in the armed forces; (g) members of the administrative, management or supervisory bodies of State-owned enterprises; (h) directors, deputy directors and members of the board or equivalent function of an international organization.

Are you taxable in the United States due to citizenship or due to other reasons?

This applies to you in case:

- You have a tax connection to the United States (applicable to private investors as well as real principals controlling more than 10% of a legal entity's shares or votes)
- You have a "Green card" (permanent residence) in the United States
- You are a United States citizen.

☐ No ☐ Yes, Please ad your TIN (Taxpayer Identification number): _____

AML/KYC

According to the Swedish Act (2017:630) on measures against money laundering and financing of terrorism as in regards to identity control, a certified copy of a passport has to be enclosed to the application. Tundra Fonder AB as no obligation to fulfil subscription if the application is not complete. Tundra Fonder AB do not provide advice in any financial activity referred to in law stated 2003:862 for investors. By signing this document you agree to Tundra Fonder AB not offering such service or will receive any such service.

Personal data

Consent to processing of my personal data by Tundra Fonder: By issuing this consent, I accept the registration by Tundra Fonder AB ('the Company'), company registration number 556838-6303, Birger Jarlsgatan 33, 111 45 Stockholm of my personal data, such as name, personal identity number, postal address, telephone number, electronic addresses and information based upon the use of the Company's services or informational materials. The Company processes personal data for the purposes of determining how many fund units their clients own, sending statements to the Swedish Tax Agency, and process your holdings at CRS, preparing and distributing settlement notes and semi-annual and annual statements and to distribute information via email or postal letter. Personal data are disclosed to public authorities only where so required by law or official decision. Personal data are stored for the duration of the customer relationship and for a period thereafter, or in accordance with special legislation in the financial services sector such as the Swedish Act on Measures against Money Laundering and Terrorist Financing (2017:630) which Is based and harmonized with the fourth money laundering directive, Directive (EU) 2015/849 of 20 May 2015 on the prevention of the use of the financial system for the purposes of money laundering or terrorist financing, which normally means that the Company is required by law to retain personal data for a longer period than stipulated in the General Data Protection Regulation (2016/679).

Certification

I hereby certify that the information above is current and correct. I vow to disclose to Tundra Fonder AB any new information in the event of any changes or updates to the details given.

City and date

Signature

Printed name