Application form

Swiss Institutions

Please observe that the application form has to be signed.

1. Send the completed application form and the required supporting documentation by e-mail to info@tundrafonder.se.
2. Tundra Fonder AB may request original documents or additional verification where necessary.

Required documents

Please fill in the application form and the questionnaire below and attach the following documents:

1. A signed and witnessed copy of the legal entity's Company registrar certificate.
2. A copy of the financial institution's authorization from the competent supervisory authority or equivalent.
3. Authorized signatories list (signed and witnessed).
4. A signed and witnessed copy of the passport of the representative.
5. A document (attested excerpts from company register or equivalent) showing owners/interest groups with an ownership of 25% or more.
6. If an unlisted legal entity, identification of beneficial owner(s).[1]

[1] Directive (EU) 2018/843 of the European Parliament and of the Council of 30 May 2018 amending Directive (EU) 2015/849 on the prevention of the use of the financial system for the purposes of money laundering or terrorist financing, and amending Directives 2009/138/EC and 2013/36/EU.

Tundra Fonder AB

1. Tundra Fonder AB will confirm receipt of the documentation as well as client registration accordingly, using the contact details stated in the application form. By then, a subscription can be made by transferring money to the relevant fund's bank account stated in Appendix 1 – Fund Information.
2. When transferring the money, please state the legal entity name and the account number (provided by Tundra Fonder) in the field for message to the receiver.
3. Please also notify of the money deposit to order@tundrafonder.se.

Fund Information

Information regarding trading schedules, subscription and redemption, banking details, fund type, NAV publication, available share classes, ISIN codes and Bloomberg codes (where available) is provided in Appendix 1 – Fund Information.

Disclaimer

The state of the origin of the Fund is Sweden. This document may only be distributed in or from Switzerland to qualified investors within the meaning of Art. 10 Para. 3, 3bis and 3ter CISA. The representative in Switzerland is OpenFunds Investment Services AG, Freigutstrasse 15, 8002 Zurich, whilst the Paying Agent is Società Bancaria Ticinese SA, Piazza Collegiata 3, 6501 Bellinzona. The relevant documents as defined in Art. 13a CISO as well as the annual and, if applicable, the semi-annual reports may be obtained free of charge from the representative in Switzerland. The value of an investment in a fund may increase or decrease, and investors may not recover the full amount invested. Past performance is not a guarantee of future results.

Investor type – select one

☐ Legal entity
☐ Financial Institution/Bank
☐ Trust

Legal entity/ Financial Institution name	Company registration number	
Street address	Zip code	City
Country	Phone incl. country code	Fax number
GIIN (Global Intermediary Identification Number) [1]		
E-mail (Contract notes and statements will be sent here unless otherwise requested)		

[1] *Financial institution, i.e. a credit institution, a securities company, a fund management company or any other type of company that owns and /or manages financial assets to another entity, the company needs to be registered with the IRS and awarded a GIIN number (Global Intermediary Identification Number).*

Banking details

Name of bank
BIC/SWIFT code
Bank account number (IBAN)

AML/KYC Questionnaire

AML/KYC

According to the Swedish Act (2017:630) on measures against money laundering and financing of terrorism as in regards to identity control, a certified copy of a passport has to be enclosed to the application. Tundra Fonder AB has no obligation to fulfil a subscription if the application is not complete. Tundra Fonder AB does not advise in any financial activity referred to in Swedish Act (2003:862) on financial advice to consumers. By signing this document, you agree that Tundra Fonder AB is not offering such service and that you will not receive any such service.

A beneficial owner is any individual who ultimately owns or controls the legal entity and/or the individual on whose behalf a transaction or activity is being conducted. The latter is characterized as a person enjoying the benefits of someone else's action. A beneficial owner is assumed to be the individual who (i) as a result of him or her holding shares or membership interests, directly or indirectly through other legal entities, alone or together with closely related family members, controls more than 25 percent of the total number of votes in the relevant legal entity, (ii) has the right to appoint or remove more than half of the legal entity's directors or equivalent officials, or (iii) may exercise the equivalent control by agreement with the owner, member or the legal person itself, through provisions in the company's articles of association, a shareholders' agreement or similar. For trusts, the beneficial owner is assumed to be: A member of the board of directors, representatives of the trust's trustee, the beneficiary of the trust or the recipient of a substantial part of the funds distributed by the trust.

1. Does any individual qualify as a beneficial owner of the legal entity? (please see definition above)

☐ **Yes**, if yes, please fill in information about the owners and their ownership below

☐ **No,** if no, please fill in information about the CEO or Chairman of the Board of the customer. If the legal entity does not have any beneficial owner due to the circumstances listed above, Tundra Fonder AB must, according to the regulations, appoint and identify a person who is to be considered as the beneficial owner of the legal entity. The Fund Company has adopted a routine to consider the CEO or the Chairman of the Board of the customer as the beneficial owner. Therefore, we need to identify this person. Please enter the name, address and Personal identity number of the CEO or the Chairman of the Board below.

Name of Beneficial owner (or Chairman of the Board)	Personal ID number
Address	☐ % of votes and shares / ☐ Title if appointed

Name of Beneficial owner	Personal ID number
Address	☐ % of votes and shares / ☐ Title if appointed

Tundra Fonder AB
Nybrogatan 34, 114 39 Stockholm, Sweden

Company registration number: 556838-6303
Supervisory Authority: https://bit.ly/3m0NdO8

E-mail: info@tundrafonder.se
Phone: +468-5511 4570

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2. Politically Exposed Persons

During the 18 months, has the beneficial owner, or any of the beneficial owner's closest family members or somebody the beneficial owner has or has had a close business relationship with, been entrusted with prominent public functions[1]?

☐ Yes
☐ No

[1] Prominent public functions include (a) heads of State, heads of government, ministers and deputy or assistant ministers; (b) members of parliament or of similar legislative bodies; (c) members of the governing bodies of political parties; (d) members of supreme courts, of constitutional courts or of other high-level judicial bodies, the decisions of which are not subject to further appeal, except in exceptional circumstances; (e) members of courts of auditors or of the boards of central banks; (f) ambassadors, chargés d'affaires and high-ranking officers in the armed forces; (g) members of the administrative, management or supervisory bodies of State-owned enterprises; (h) directors, deputy directors and members of the board or equivalent function of an international organization.

If you have answered "Yes" to the above, you have to fill out the PEP-declaration, which will be sent to you.

3. Nature of business relationship

☐ One-off payment
☐ Regular savings (e.g. monthly investment)
☐ Other, please state: ____

4. What amount do you estimate that an average transaction with Tundra Fonder will amount to?

☐ 100 000 – 200 000 EUR
☐ 300 000 – 500 000 EUR
☐ More than 500 000 EUR
☐ Other, please state: ____

5. Most common source of invested capital:

☐ Revenue from the company we represent
☐ Property income
☐ Income from sale of company
☐ Other, please state: ____

6. Is the legal entity taxable in the United States?

☐ Yes, please add your TIN (Taxpayer Identification number): _____________________________________
☐ No

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Additional questions for Financial institutions/Banks

If the entity is a financial institution or a bank, please also provide answers to the questions below.

AML/KYC

According to the Swedish Act (2017:630) on measures against money laundering and financing of terrorism as in regards to identity control, a certified copy of a passport has to be enclosed to the application. Tundra Fonder AB has no obligation to fulfil a subscription if the application is not complete. Tundra Fonder AB does not advise in any financial activity referred to in Swedish Act (2003:862) on financial advice to consumers. By signing this document, you agree that Tundra Fonder AB is not offering such service and that you will not receive any such service.

1. **Company Category**

   ☐ Bank or credit institution
   ☐ Securities Firm
   ☐ Life Assurance Company
   ☐ Insurance Intermediary/Independent Financial Advisor
   ☐ Intermediary (for example a fund platform)
   ☐ Fund Management Company
   ☐ Other, please state: ____

2. **Supervisory Authority**

   ____

3. **If the company is a Bank or other Credit Institution or a Securities Firm, please specify if subscribed fund units are to be registered directly in the name of the company or in the name of the company "for its customers' account" (nominee/omnibus registration):**

   ☐ Direct registration
   ☐ Nominee/omnibus registration

4. **Most common source of invested capital**

   ____

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Processing of personal data

Tundra Fonder AB (the “Company”), company registration number 556838-6303, Nybrogatan 34, 114 39 Stockholm, Sweden, is the controller of personal data processed in connection with this application and the customer relationship.

The Company processes personal data, including names, personal identity numbers, contact details, copies of identification documents and other information provided in connection with the use of the Company’s services. Personal data are processed on the basis of applicable legal grounds, including where necessary to comply with legal obligations or to perform a contract and, where applicable, on the basis of consent.

Personal data are processed for purposes including the administration of customer relationships and fund holdings, the preparation and distribution of transaction confirmations and statements, tax and regulatory reporting, and compliance with applicable requirements relating to customer due diligence, anti-money laundering and counter-terrorist financing. Personal data may be disclosed to public authorities and other recipients where required by law, regulation or official decision or where necessary for the administration of the customer relationship.

Personal data are retained for as long as necessary for the purposes for which they are processed and for such additional periods as required under applicable law. Further information about the Company’s processing of personal data and the rights of data subjects is available at www.tundrafonder.se.

Please read the Full Prospectus as it includes additional information regarding subscription and redemption. NAV information is available on Tundra Fonder AB’s website, www.tundrafonder.se, and can also be obtained from Tundra Fonder AB upon request. Fund share prices are also available on Bloomberg for applicable share classes.

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Signatures

Please enclose a copy of the certificate of registration and a certified copy of valid identification for each authorised signatory. I hereby certify that the information provided above is current and correct and undertake to notify Tundra Fonder AB of any changes to the information provided.

Authorised Signatory 1	**Authorised Signatory 2**
City, date	City, date
Signature	Signature
Printed name	Printed name

If the legal person is a trust or similar, please state name, address, company number/personal ID-number for future policyholder, alternatively name of physical persons whose primary interest the legal person operates for.

Signature
City, date
Printed name

Appendix 1 – Fund Information

Trading Schedule

Redemption orders received by Tundra Fonder AB are legally binding and can only be cancelled if Tundra Fonder AB agrees to cancellation.

Subscription

Tundra Sustainable Frontier Fund
Subscription takes place at the price established on the banking day on which the subscription request and cash settlement are received into the Fund's bank account, the "Subscription Date". Requests for subscription and cash settlement must be received by Tundra Fonder AB no later than 2.30 pm (CET) on the Subscription Date for subscription to take place on that Subscription Date. Otherwise, the subscription will take place on the subsequent Subscription Date.

Redemption

Tundra Sustainable Frontier Fund
Redemption takes place at the price established on the banking day on which the redemption request is received by Tundra Fonder AB, the "Redemption Date". Requests for redemption must be received by Tundra Fonder AB no later than 2.30 pm (CET) on the Redemption Date for redemption to take place on that Redemption Date. Otherwise, the redemption will take place on the subsequent Redemption Date.

Banking details

Fund Name	Bank Account	Trading	ISIN Code
Tundra Sustainable Frontier Fund Share Class F EUR	SEB: 5864-82 092 18 IBAN: SE44 5000 0000 0586 4820 9218 BIC: ESSESESS	Daily	SE0006 789 897

Fund Type

Tundra Sustainable Frontier Fund - UCITS

Please read the applicable fund documentation as it includes additional information regarding subscription and redemption.

The NAV per share for Tundra Sustainable Frontier Fund is calculated and published daily. NAV information is available on Tundra Fonder AB's website, www.tundrafonder.se/en, and can also be obtained from Tundra Fonder AB upon request. Bloomberg codes, where available, are stated below.

Fund Name	ISIN	Bloomberg
Tundra Sustainable Frontier Fund F EUR	SE0006 789 897	TUNDFRF SS